

DAVID A. HARPER

PROFESSIONAL EXPERIENCE

New York University, Director of Graduate Studies (M.A. program), Sept. 2001-present; Associate Clinical Professor, and Co-Director of the Austrian Economics Program.

Co-Director of a research program that aims to enhance understanding of the institutional conditions conducive to entrepreneurship and to explain the economic processes engendered by these institutions. The research program considers how the constitutional, legal, economic and political environment affects the operation of markets, new market entry and competitive selection pressures.

New York University, Senior Research Scientist, 1997-Sept. 2001; Adjunct Associate Professor, 1998-present; Visiting Scholar, fall 1994, summer 1996; Department of Economics.

New Zealand Treasury, Manager, Regulation, Competition and Enterprise Strategy, 1994-1997; Chief Analyst, 1993-94; Regulatory and Tax Policy Branch.

New Zealand Institute of Economic Research, Senior Research Economist, 1991-93; Research Economist, 1986-87, 1989-91; Assistant Research Economist, 1984-86; Industrial Economics Division.

Technical University, Munich, Research Fellow, 1987-88; Lehrstuhl für Allgemeine und Industrielle Betriebswirtschaftslehre (Institute for General and Industrial Business Administration).

Wellington Polytechnic, NZ, Part-time lecturer in economics, 1985-86.

New Zealand Department of Trade and Industry, Assistant Advisory Officer, 1983-84; Macroeconomics and Research Section, Economics Directorate.

University of Waikato, Hamilton, NZ, Tutor in economics (i.e. adjunct instructor), 1983.

EDUCATION

Ph.D. in Economics, University of Reading, England, 1992. Thesis title: *Entrepreneurship and the Market Process*. Thesis supervisor: Professor Mark Casson. External examiner: Professor Brian Loasby.

Bachelor of Management Studies (Honors) in Economics and Business Administration, University of Waikato, Hamilton, New Zealand, 1984.

ACADEMIC HONORS AND AWARDS

Smith Prize for outstanding contribution to Austrian Economics in recognition of the book, *Entrepreneurship and the Market Process*, 1998.

Deutscher Akademischer Austauschdienst (German Academic Exchange Service) Scholarship, 1987-88.

Reserve Bank of New Zealand Fellowship for Economic Research, 1987-88.

Goethe Institute Language Scholarship, 1987.

Public Service Study Award, 1983.

Grant from the Austrian Economics Program, Economics Department, New York University, 1994.

Sarah Scaife Foundation Grant, 1994.

Foundation for Research, Science & Technology Grant, 1991/92 (two grants).

New Zealand-Japan Foundation Grant, 1991/92.

Social Sciences Research Fund Committee Grant, 1989-1990.

Travel Grant under the Science and Technology Co-operation Agreement between the Federal Republic of Germany and New Zealand, 1987.

PROFESSIONAL MEMBERSHIP AND POSITIONS HELD

American Economics Association

American Law and Economics Association

International Society for New Institutional Economics

Society for the Development of Austrian Economics (SDAE)

Vice President of the SDAE 2000, 2001

PUBLICATIONS

Published Books and Monographs

Foundations of Entrepreneurship and Economic Development, Routledge: London and New York, 2003 (Forthcoming).

Entrepreneurship and the Market Process: An Enquiry into the Growth of Knowledge, Routledge: London, 1996, 414 pp. This book was the recipient of the 1998 Smith Prize in Austrian Economics. A Chinese translation of the book is forthcoming in 2000, published by Jiangxi Education Publishing House, Nanchang, China.

Wellsprings of Enterprise: An Analysis of Entrepreneurship and Public Policy in New Zealand, New Zealand Institute of Economic Research (NZIER) Research Monograph 63, 1994.

Teaming Up: A Study of Japanese-Affiliated Firms in New Zealand, NZIER Research Monograph 62, 1994.

Islands of Excellence? A Study of Management in New Zealand, NZIER Research Monograph 59, 1993. With Colin Campbell-Hunt.

Industrial Concentration in New Zealand 1987-1990, Volumes 1, 2 and 3, Commerce Commission Occasional Paper No.6, 1992.

Surviving the Change: How Firms Adjusted to the New Environment, NZIER Research Monograph 56, 1991. With Gerard Malcolm.

The Financial Services Industry: Effects of Regulatory Reform, NZIER Research Monograph 35, 1986.

Research and Development in New Zealand: A Public Policy Framework, NZIER Research Monograph 39, 1987. With Alan Bollard and Margriet Theron.

Journal Articles

"Money and Alertness", *Journal des Economistes et des Etudes Humaines* June-Sept, 2002.

"Institutional Conditions for Entrepreneurship," *Advances in Austrian Economics* 5, 1998, 241-275.

"The Entrepreneur in Theory and Practice," *Journal of Economic Studies* 21(6), 1994, 3-18. With Robert T. Hamilton.

"National Renewal and Strategic Change - First Lessons from an Early-Mover in Deregulation," *Journal of Strategic Change* 4, 1994, 47-59. With Colin Campbell-Hunt and Robert T. Hamilton.

"A New Approach to Modelling Endogenous Learning Processes in Economic Theory," *Advances in Austrian Economics* 1, 1994, 49-79.

"A Regional Analysis of Business and Employment Dynamics in New Zealand Manufacturing," *New Zealand Geographer* 42, 1986, 73-77. With Alan Bollard.

"The Dynamics of Manufacturing Industry: Changes in Establishments and Employment by Sector," *New Zealand Journal of Business* 8, 1987, 56-62. With Alan Bollard.

"Employment Generation and Establishment Size in New Zealand Manufacturing," *International Small Business Journal*, 4(4), 1986, 10-28. With Alan Bollard.

"Employment Generation and Plant Size in New Zealand Manufacturing," *Labour and Employment Gazette*, 35(4), 1984, 36.

Book Chapters

"Growth of Knowledge Perspectives on Corporate Behaviour" in P. E. Earl, ed., *Management, Marketing and the Competitive Process*, Edward Elgar Publishing, Aldershot, 1996. With Peter E. Earl.

"Financial Policy Reform in New Zealand" in A. Bollard and R. Buckle, eds, *Economic Liberalisation in New Zealand*, Allen and Unwin, Wellington, New Zealand, 1987. With Girol Karacaoglu.

"Stockbroking Services" in R. Miller et al., *Price Setting and the Commerce Act*, NZIER Research Monograph 37, 1987, Chapter 4, pp. 31-45.

Book Reviews

Review of J. Shearmur's "The Political Thought of Karl Popper," *Review of Austrian Economics*, 12(1), 1999, pp.95-100.

Review of M. Dietrich's "Transaction Cost Economics and Beyond: Toward a New Economics of the Firm" in *Advances in Austrian Economics*, 3, 1996, pp. 225-32.

Submitted Manuscripts

Wellsprings of Enterprise: Public Policy to Unleash Entrepreneurship. Book-length manuscript in the final stages of editing. Publishing contract with Routledge, London, February 1997. Forthcoming 2001.

"The Institutional Framework for Entrepreneurship," Submitted to *Journal of Business Venturing*, 7/15/98. Revision requested, 1/15/99.

Research Projects

Product Market Flexibility: Concepts and Indicators. Report to Her Majesty's Treasury, National Institute of Economic and Social Research Report, London, 1993 and NZIER Working Paper 93/39, 1993. With Alan Bollard and David Mayes.

Fast Growing Firms: Theoretical Foundations and International Evidence, New Zealand Institute of Economic Research (NZIER) Working Paper 93/26. With Alan Bollard.

National Renewal and Strategic Change - First Lessons from an Early-Mover in Deregulation, Graduate School of Business and Government Management, Working Paper Series 5/93, Victoria University of Wellington. With Colin Campbell-Hunt and Robert T. Hamilton.

Pilot Study for a Firm-Based Survey: Suggestions for an Appropriate Framework, Research Design and Implementation Process. A Report Prepared for the New Zealand Treasury, NZIER Contract Paper No.580, 1993.

Islands of Excellence? A Study into Private Sector Management in New Zealand. A Report Prepared for the New Zealand Ministry of Commerce, NZIER Working Paper 92/20. With Colin Campbell-Hunt.

Entrepreneurship in New Zealand: Foundations for a Public Policy Framework. A Report Prepared for the New Zealand Treasury, NZIER Contract No.389, Working Paper 92/2, March 1992.

Industrial Concentration in New Zealand. A Report Prepared for the Commerce Commission, Volumes One, Two and Three, NZIER Contract No.381, February 1992.

Measuring Industrial Concentration: A Survey, NZIER Working Paper, 91/15.

1991 Survey of Structural Change. A Report Prepared for the NZ Treasury and the Ministry of Commerce, NZIER Contract No.384, Working Paper, 91/12. With Gerard Malcolm.

Research Priorities and a Public Policy Framework for Small Businesses in New Zealand. A Report Prepared for the Ministry of Commerce, NZIER Contract No. 399, Internal Paper 91/9, June 1991. With Alan Bollard.

The Market Process, Entrepreneurship and the Growth of Knowledge. Report to the Social Sciences Research Fund Committee, NZIER Working Paper 90/7.

Pricing by Banks: A Brief Survey of Industrial Organisation Approaches. A Report Prepared for the Reserve Bank of New Zealand, NZIER Contract No.239, Working Paper 89/21, June 1989.

Analysis and Forecasts of the Financial Services Industry. Part One (A). A Report Prepared for IBM New Zealand Ltd, NZIER Contract, April 1989.

Entrepreneurship and The Market Process, Discussion Papers in Economics, Series A, Vol II (1989/90), no. 220, Department of Economics, University of Reading.

Price Fixing and the Commerce Act: The Case of Stockbroking Services, NZIER Working Paper 86/22, 1986.

Financial Services Deregulation: An Industrial Economics Framework, NZIER Working Paper 86/21, 1986.

A Public Policy Framework for Research and Development in New Zealand: Preliminary Report, NZIER Working Paper 85/53, 1985. With Alan Bollard.

Employment Dynamics Project: The Generation of Jobs and Businesses in New Zealand Manufacturing: 1980-1984, NZIER Working Paper 85/33. With Alan Bollard.

Manufacturing, Growth and Employment in OECD Countries, NZIER Working Paper 85/52. With Mark Benseman.

An Assessment of New Zealand Electricity Conservation Policies, NZIER Working Paper 85/45.

Selected Conference Papers, Seminar Papers, and Lectures

“Cultural Conceptions of the Self, Human Agency and Entrepreneurial Alertness”, paper presented to the Austrian Economics Colloquium, Economics Department, New York University, December 2000, and to the J.M. Kaplan Workshop in Politics, Philosophy and Economics, Economics Department, George Mason University, December 2000.

“Entrepreneurship and Economic Development”, Austrian Economics Summer Seminar, Foundation for Economic Education, Irvington-on-Hudson, New York, July 1999 and July 2000.

“Psychological Determinants of Entrepreneurial Alertness”, paper presented to the Austrian Economics Colloquium, Economics Department, New York University, November 1999.

“Entrepreneurship and Learning within the Market Process”, Austrian Economics Summer Seminar, Foundation for Economic Education, Irvington-on-Hudson, New York July 1998 and July 1999.

“How Entrepreneurs Learn: A Popperian Approach and its Limitations,” paper presented to Economics Department, George Mason University, April 1998 and Department of Industrial Economics and Strategy, Copenhagen Business School, Denmark, Working Paper 99-3, May 1999.

“Intellectual Foundations of Entrepreneurship Research,” lecture presented at Advanced Research in Strategy Seminar, Stern School of Business, New York University, March 1999.

“Entrepreneurship, Property Rights and Coordination,” paper presented to the 68th Annual Conference of the Southern Economic Association, Baltimore, November 1998, the Austrian Economics Colloquium, Economics Department, New York University, February 1999 and George Mason University, October, 1999.

“We Paid Them When They Were Wrong and We’re Still Paying Them: An Irreverent Look at Mainstream Economics”, Panel Discussion, 68th Annual Conference of the Southern Economic Association, Baltimore, November 1998.

“Entrepreneurship and Learning within the Market Process,” lecture presented at the Austrian Economics Summer Seminar, Foundation for Economic Education, Irvington-on-Hudson, New York, July 1998.

“An Austrian Framework for Public Policy,” paper presented to the Austrian Economics Colloquium, Economics Department, New York University, September 1996, and the 67th Annual Conference of the Southern Economic Association, Atlanta, November 1997.

“Entrepreneurship and Liberty: Institutional Conditions for Entrepreneurship,” paper presented for the Liberty Fund Symposium on Entrepreneurship and Liberty in honor of Prof. Israel M. Kirzner, Sheraton Park Avenue, New York City, November 4-7, 1995 and 67th Annual Conference of the Southern Economic Association, Atlanta, November 1997.

“A New Approach to Modelling Endogenous Learning Processes in Economic Theory,” paper presented to the Austrian Economics Colloquium, Economics Department, New York University, September 1994.

“Financial Services Deregulation: An Industrial Economics Perspective,” NZIER Working Paper 86/21, presented to the Conference of the New Zealand Association of Economists, University of Waikato, Hamilton, 1986.

“Employment Generation and Establishment Size in New Zealand Manufacturing,” NZIER Working Paper 85/50, presented to the Conference of the NZ Association of Economists, University of Otago, Dunedin, 1985. With Alan Bollard.

Manuscript and Book Referee

Advances in Austrian Economics;
Journal of Economic Psychology;
Quarterly Journal of Austrian Economics
Review of Austrian Economics;
Review of Political Economy;
Structural Change and Economic Dynamics.

GRADUATE COURSES TAUGHT

Economic Development I (M.A.);
Economic Analysis of Law (M.A.).